

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICANT: NATAN *ET AL.*  
 SERIAL NO.: 09/598,395  
 FILED: JUNE 20, 2000  
 TITLE: COLLOIDAL ROD PARTICLES AS  
 NANOBAR CODES

EXAMINER:  
 ART UNIT: 1754  
 CONFIRMATION NO:

#3

Assistant Commissioner for Patents  
 Washington, D.C. 20231  
 Box: Missing Part

Dear Sir:

REPLY TO NOTICE TO FILE MISSING PARTS

1. The applicant hereby replies to the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted," mailed August 10, 2000. A copy of the Notice is enclosed. In reply to the Notice, the applicant submits:

- (a) the basic filing fee of \$355.00;
- (b) surcharge fee of \$65.00;
- (c) additional claims fee of \$754.00;
- (d) assignment recordation fee of \$40.00;
- (e) extension of time fee of \$445.00;
- (f) petition for extension of time;
- (e) associate power of attorney;
- (g) a combined declaration and power of attorney signed by the inventors; and
- (h) assignment and assignment recordation form cover sheet.

2. Enclosed is a check for \$1,659.00. The check covers the filing fee (\$355.00), the surcharge (\$65.00), the additional claims fee (\$754.00), the extension of time fee (\$445.00) and the fee for recording the assignment (\$40.00). The foregoing fees are calculated as a small entity.

Applicant claims small entity status. See 37 CFR 1.27.

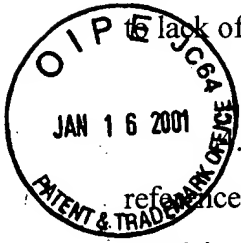
37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Box Missing Part, on January 10, 2001.

Signature: Elizabeth A. McArthur  
 Name: Elizabeth A. McArthur

3. The executed declaration submitted herewith corrects the earlier erroneous identification of inventorship. In accordance with 37 C.F.R. § 1.48(f)(1), no factual showing as to lack of deceptive intent is required.

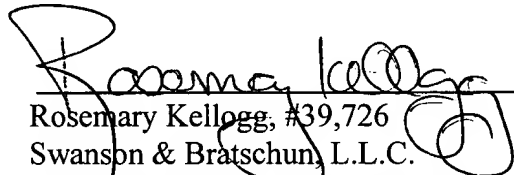


Please address all future correspondence and telephone calls related to the above-referenced case to the following address. Also, please recognize as the "Fee Address" under the provisions of 37 C.F.R. 1.363 the following address:

Thomas J. Monahan, Director  
Intellectual Property Office  
The Pennsylvania State University  
113 Technology Center  
200 Innovation Blvd.  
University Park, Pennsylvania 16802-7000  
Telephone 814-865-6277

The undersigned hereby authorizes the charge of any deficiency to deposit account no. 19-5117.

Respectfully submitted,

  
Rosemary Kellogg, #39,726  
Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
Highlands Ranch, Colorado 80129  
(303) 268-0066

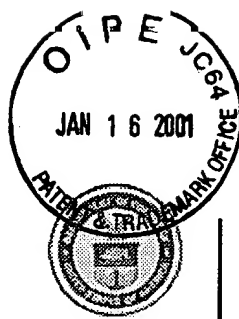
cc: Frank Wojnicki  
William Leschensky

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## FORMALITIES LETTER



\*OC00000005314568\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/598,395	06/20/2000	Michael J. Natan	PSU 00 2182A

Barry J Swanson  
Swanson & Bratschun LLC  
Suite 330  
1745 Shea Center Drive  
Highlands Ranch, CO 80129

Date Mailed: 08/10/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1500.
  - \$1188 for 66 total claims over 20.
  - \$312 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2320.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/19/2001 JADD01 00000007 09598395  
01 FC:201 355.00 00  
02 FC:202 160.00 00  
03 FC:203 594.00 00  
04 FC:205 65.00 00